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NOTICE OF ALLOWANCE AND FEE(S) DUE

Mark A> Litman and Associates, P.A. York Business Center 3209 w. 76th Street Suite 205 Edina, MN 55435 EXAMINER

HALL, ARTHUR O

ART UNIT PAPER NUMBER

3714

DATE MAILED: 10/16/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/623 223	07/17/2003	Atilla Grauzer	PA0863.AP.US	6337

TITLE OF INVENTION: CARD SHUFFLER WITH CARD RANK AND VALUE READING CAPABILITY

10/16/2009

	APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE-DUE] `
•	nonprovisional	*ES NO	8 755 1510	\$300	. \$0	\$ 1055 1810	01/19/2010	

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PAP		•					
	Application No.	Applicant(s)					
JAN 2 2 2010 w	10/623,223	GRAUZER ET AL.					
The state of Anomability	Examiner	Art Unit					
THADEMARKO	ARTHUR O. HALL	3714					
4 THADES			-				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.							
1. This communication is responsive to an After Final Amend	<u>lment filed on 10/5/2009</u> .						
2. The allowed claim(s) is/are <u>1-22,30,37,38,43,44 and 55</u> .							
3. Acknowledgment is made of a claim for foreign priority ur	nder 35 U.S.C. § 119(a)-(d) or (f).						
a) All b) Some* c) None of the:		•					
Certified copies of the priority documents have	e been received.						
2. Certified copies of the priority documents have	···						
3. Copies of the certified copies of the priority do	cuments have been received in this i	national stage applica	tion from the				
International Bureau (PCT Rule 17.2(a)).							
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the rec	quirements				
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			OTICE OF				
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.						
(a) ☐ including changes required by the Notice of Draftspers	son's Patent Drawing Review (PTO-	948) attached					
1) hereto or 2) to Paper No./Mail Date	e.						
(b) ☐ including changes required by the attached Examiner's	s Amendment / Comment or in the O	Office action of					
Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1	194/a)) abould be written on the drawin	ngo in the front (not the	hook) of				
each sheet. Replacement sheet(s) should be labeled as such in t			, Dacky OI				
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	ISIT OF BIOLOGICAL MATERIAL IN FOR THE DEPOSIT OF BIOLOGICA	nust be submitted. N AL MATERIAL.	Note the				
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Attachment(s)	5 🗆 N .: (1 () 1 5						
1. Notice of References Cited (PTO-892)	5. ☐ Notice of Informal P	* *					
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 6. ☑ Interview Summary (PTO-413), Paper No./Mail Date <u>9/23/2009</u> .							
3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	7. 🛭 Examiner's Amendo						
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛛 Examiner's Stateme	ent of Reasons for Allo	wance				

Examiner, Art Unit 3714

9. Other ____. /Peter DungBa Vo/

Supervisory Patent Examiner, Art Unit 3714

/Arthur O Hall/

OP AP	Application No.	Applicant(s)
n all m	10/623,223	GRAUZER ET AL.
JAN 2 2 2010 Enterview Summary	Examiner	Art Unit
A THAOE THAOE THAT	ARTHUR O. HALL	3714
All participants (applicant, applicant's representative	e, PTO personnel):	
(1) <u>ARTHUR O. HALL</u> .	(3)	
(2) Mark A. Litman.	(4)	
Date of Interview: 23 September 2009.		
Type: a)⊠ Telephonic b)☐ Video Conference c)☐ Personal [copy given to: 1)☐ application		ntative]
Exhibit shown or demonstration conducted: d) If Yes, brief description:	Yes e)⊠ No.	
Claim(s) discussed: <u>1,30,37,43,45 and 55</u> .		
Identification of prior art discussed: <u>Albrecht (US6,2 and Huen (US5,240,140)</u> .	50,632); Johnson et al. (US5,68	33,085); Purton (WO 00/51076)
Agreement with respect to the claims f)⊠ was reach	hed. g)□ was not reached. h	ı)□ N/A.
Substance of Interview including description of the greached, or any other comments: Examiner and appsurface, cards received one at a time into the card of surface of the device, and claim amendments to claim and collection surface so as to be added to claims and to place the further discussed the potential need to cancel claim features associated with a reading operation of a ship claims 1, 30, 37, 43, and 55. (A fuller description, if necessary, and a copy of the allowable, if available, must be attached. Also, whe allowable is available, a summary thereof must be attached.	olicants discussed claim 1, whice collection area, a moveable cover im 1 that would recite moving casts and 30, 37, 43, 45 and 55 if not cumber claims in condition for allowards and file the claim in a future suffler, and not a shuffling process. The copy of the amendments	h recites a single card collection or fixed along the edge of the top ards one at a time onto the single ently recited therein in order to nce. Examiner and applicants application since the claim recites of a shuffler that is recited in er agreed would render the claim
THE FORMAL WRITTEN REPLY TO THE LAST OF INTERVIEW. (See MPEP Section 713.04). If a reply GIVEN A NON-EXTENDABLE PERIOD OF THE LO INTERVIEW DATE, OR THE MAILING DATE OF THE FILE A STATEMENT OF THE SUBSTANCE OF THE requirements on reverse side or on attached sheet.	y to the last Office action has all NGER OF ONE MONTH OR TH HS INTERVIEW SUMMARY FO	ready been filed, APPLICANT IS HIRTY DAYS FROM THIS PRM, WHICHEVER IS LATER, TO
/Arthur O Hall/ Examiner, Art Unit 3714		

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03) JAN 5 5 5010 FINE

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the guestion of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed.
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

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Application/Control Number: 10/623,223

Art Unit: 3714

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone conversation with Mark A. Litman Reg. No. 26,390 on 10/6/2009.

The application has been amended as follows:

In Claim 55, replace "receiving randomized playing cards one at a time into the card collection area, the collection surface receiving" with – receiving randomized playing cards one at a time into the card collection area and onto a single card collection surface, the collection surface receiving —.

Reasons for Allowance

The following is an examiner's statement of reasons for allowance:

The closest prior art for the features of the claimed invention are Albrecht (US Patent 6,250,632); Johnson et al. (US Patent 5,683,085; hereinafter Johnson); Purton (WIPO Patent Application Publication WO 00/51076) and Huen (US Patent 5,240,140).

Application/Control Number: 10/623,223

Art Unit: 3714

However, Albrecht alone or in combination with Johnson, Purton and Huen does not disclose a device that includes a randomizing system that receives cards onto a single card collection surface in a card collection area by transferring the cards one at a time from a single card receiving area directly to the card collection area and onto the single card collection surface, a camera that captures images of the rank and suit of each card prior to being placed onto the single card collection surface, an elevator that raises the single card collection surface having at least some randomized cards thereon to the top surface of the device for removal, and a moveable cover fixed along an edge of the top surface of the device. Thus, the claimed invention is not anticipated by nor obvious over the closest prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ARTHUR O. HALL whose telephone number is (571)270-1814. The examiner can normally be reached on Mon - Fri, 8:00am - 5:00 pm, Alt Fri, EST.

Art Unit: 3714

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Peter Vo can be reached on (571) 272-4690. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/A. O. H./ Examiner, Art Unit 3714

> /Peter DungBa Vo/ Supervisory Patent Examiner, Art Unit 3714



Notice of References Cited

Application/Control No. 10/623,223 Examiner ARTHUR O. HALL		Applicant(s)/Patent Under Reexamination GRAUZER ET AL.		
		Art Unit Page 1 of 1		

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-2002/0068635 A1	06-2002	Hill, Otho Dale	463/47
	В	US-			
	С	US-			
	D	US-			
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	x	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.